

FORM PTO - 1449

ATTORNEY DOCKET NO.: INK-097

## INFORMATION DISCLOSURE STATEMENT

APPLICANT(S): Albert et al.

SERIAL NO.: 09/917,325

FILING DATE: July 27, 2001 GROUP: 2872

## U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
AS	A1	3,036,388	5/29/62	Tate			
	A2	3,384,488	5/21/68	Tulagin et al.			
	A3	3,612,758	10/12/71	Evans et al.			
	A4	3,670,323	6/13/72	Sobel et al.			
	A5	3,767,392	10/23/73	Ota			
	A6	3,792,308	2/12/74	Ota			
	A7	3,806,893	4/23/74	Ohnishi et al.			
	A8	3,850,627	11/26/74	Wells et al.			
	A9	3,972,040	7/27/76	Hilsum et al.			
	A10	4,041,481	8/9/77	Sato			
	A11	4,045,327	8/30/77	Noma et al.			
	A12	4,068,927	1/17/78	White			
	A13	4,071,430	1/31/78	Liebert			
	A14	4,088,395	5/9/78	Giglia			
	A15	4,123,346	10/31/78	Ploix			
	A16	4,149,149	4/10/79	Miki et al.			
	A17	4,203,106	5/13/80	Dalisa et al.			
	A18	4,218,302	8/19/80	Dalisa et al.			
	A19	4,261,653	4/14/81	Goodrich			
	A20	4,305,807	12/15/81	Somlyody			
	A21	4,311,361	1/19/82	Somlyody			
	A22	4,324,456	4/13/82	Dalissa			
	A23	4,390,403	6/28/83	Batchelder			
	A24	4,418,346	11/29/83	Batchelder			
	A25	4,430,648	2/7/84	Togashi et al.			
	A26	4,439,507	3/27/84	Pan et al.			
✓	A27	4,450,440	5/22/84	White			

EXAMINER

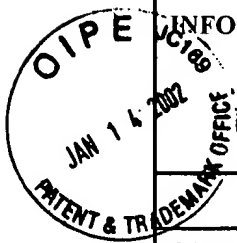
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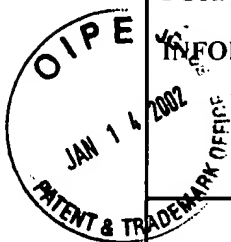
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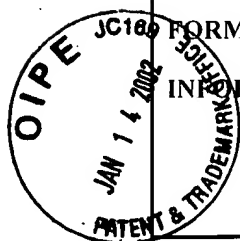
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## U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
<b>AZ</b>	A28	4,502,934	3/5/85	Gazard et al.			
	A29	4,522,472	6/11/85	Liebert et al.			
	A30	4,543,306	9/24/85	Dubois et al.			
	A31	4,598,960	7/8/86	DiSanto et al.			
	A32	4,643,528	2/17/87	Bell, Jr.			
	A33	4,648,956	3/10/87	Marshall et al.			
	A34	4,655,897	4/7/87	DiSanto et al.			
	A35	4,686,524	8/11/87	White			
	A36	4,732,830	3/22/88	DiSanto et al.			
	A37	4,741,604	5/3/88	Kornfeld			
	A38	4,742,345	5/3/88	DiSanto et al.			
	A39	4,746,917	5/24/88	DiSanto et al.			
	A40	4,833,464	5/23/89	DiSanto et al.			
	A41	4,846,931	7/11/89	Gmitter et al.			
	A42	4,850,919	7/25/89	DiSanto et al.			
	A43	4,883,561	11/28/89	Gmitter et al.			
	A44	4,892,607	1/9/90	DiSanto et al.			
	A45	4,931,019	6/5/90	Park			
	A46	4,947,159	8/7/90	DiSanto et al.			
	A47	5,009,490	4/23/91	Kouno et al.			
	A48	5,028,841	7/2/91	DiSanto et al.			
	A49	5,041,824	8/20/91	DiSanto et al.			
	A50	5,053,763	10/1/91	DiSanto et al.			
	A51	5,066,105	11/19/91	Yoshimoto et al.			
<b>✓</b>	A52	5,066,946	11/19/91	DiSanto et al.			
EXAMINER <b>ABR Q' / A. EISEN</b>				DATE CONSIDERED <b>12/11/03</b>			

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<b>A3</b>	A53	5,070,326	12/3/91	Yoshimoto et al.			
	A54	5,077,157	12/31/91	DiSanto et al.			
	A55	5,105,185	4/14/92	Nakanowatari et al.			
	A56	5,128,226	7/7/92	Hung			
	A57	5,128,785	7/7/92	Yoshimoto et al.			
	A58	5,161,007	11/3/92	Takanashi et al.			
	A59	5,174,882	12/29/92	DiSanto et al.			
	A60	5,177,476	1/5/93	DiSanto et al.			
	A61	5,187,609	2/16/93	DiSanto et al.			
	A62	5,194,852	3/16/93	More et al.			
	A63	5,216,416	6/1/93	DiSanto et al.			
	A64	5,220,316	6/15/93	Kazan			
	A65	5,223,115	6/29/93	DiSanto et al.			
	A66	5,223,823	6/29/93	DiSanto et al.			
	A67	5,247,290	9/21/93	DiSanto et al.			
	A68	5,250,932	10/5/93	Yoshimoto et al.			
	A69	5,250,938	10/5/93	DiSanto et al.			
	A70	5,254,981	10/19/93	DiSanto et al.			
	A71	5,266,937	11/30/93	DiSanto et al.			
	A72	5,270,843	12/14/93	Wang			
	A73	5,276,438	1/4/94	DiSanto et al.			
	A74	5,279,694	1/18/94	DiSanto et al.			
	A75	5,293,528	3/8/94	DiSanto et al.			
	A76	5,296,974	3/22/94	Tada et al.			
	A77	5,302,235	4/12/94	DiSanto et al.			
<b>✓</b>	A78	5,303,073	4/12/94	Shirota et al.			

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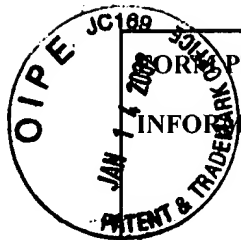
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EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
<b>AE</b>	A79	5,304,439	4/19/94	Disanto et al.			
	A80	5,315,312	5/24/94	DiSanto et al.			
	A81	5,345,251	9/6/94	DiSanto et al.			
	A82	5,359,346	10/25/94	DiSanto et al.			
	A83	5,362,671	11/8/94	Zavracky et al.			
	A84	5,383,008	1/17/95	Sheridon			
	A85	5,389,945	2/14/95	Sheridon			
	A86	5,402,145	3/28/95	DiSanto et al.			
	A87	5,403,772	4/4/95	Zhang et al.			
	A88	5,412,398	5/2/95	DiSanto et al.			
	A89	5,460,688	10/24/95	DiSanto et al.			
	A90	5,463,492	10/31/95	Check, III			
	A91	5,467,107	11/14/95	DiSanto et al.			
	A92	5,499,038	3/12/96	DiSanto et al.			
	A93	5,508,720	4/16/96	DiSanto et al.			
	A94	5,545,291	8/13/96	Smith et al.			
	A95	5,561,443	10/1/96	DiSanto et al.			
	A96	5,565,885	10/15/96	Tamanoi			
	A97	5,569,610	10/29/96	Zhang et al.			
	A98	5,573,711	11/12/96	Hou et al.			
	A99	5,575,554	11/19/96	Guritz			
	A100	5,583,675	12/10/96	Yamada et al.			
	A101	5,602,572	2/11/97	Rylander			
	A102	5,609,978	3/11/97	Giorgianni et al.			
	A103	5,614,340	3/25/97	Bugner et al.			
	A104	5,623,585	4/22/97	Matias et al.			
<b>✓</b>	A105	5,625,199	4/29/97	Baumbach et al.			

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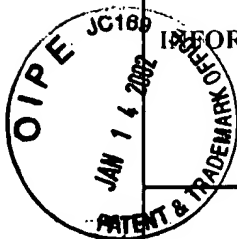
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EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
<i>AE</i>	A106	5,627,561	5/6/97	Laspina et al.			
	A107	5,638,103	6/10/97	Obata et al.			
	A108	5,648,801	7/15/97	Beardsley et al.			
	A109	5,650,199	7/22/97	Chang et al.			
	A110	5,650,247	7/22/97	Taniguchi et al.			
	A111	5,650,872	7/22/97	Saxe et al.			
	A112	5,675,719	10/7/97	Matais et al.			
	A113	5,684,501	11/4/97	Knapp et al.			
	A114	5,686,383	11/11/97	Long et al.			
	A115	5,688,584	11/18/97	Casson et al.			
	A116	5,689,282	11/18/97	Wolfs et al.			
	A117	5,699,102	12/16/97	Ng et al.			
	A118	5,707,738	1/13/98	Hou			
	A119	5,714,051	2/3/98	Van Leth et al.			
	A120	5,715,511	2/3/98	Aslam et al.			
	A121	5,715,514	2/3/98	Williams et al.			
	A122	5,717,514	2/10/98	Sheridon			
	A123	5,718,996	2/17/98	Iijima et al.			
	A124	5,721,042	2/24/98	Iijima et al.			
	A125	5,725,935	3/10/98	Rajan			
	A126	5,729,663	3/17/98	Lin et al.			
	A127	5,731,116	3/24/98	Matsuo et al.			
	A128	5,737,115	4/7/98	Mackinlay et al.			
	A129	5,738,977	4/14/98	Van Der Sluis-Van Der Voort et al.			
	A130	5,739,801	4/14/98	Sheridon			
	A131	5,740,495	4/14/98	Maher et al.			
	A132	5,744,283	4/28/98	Spierings et al.			

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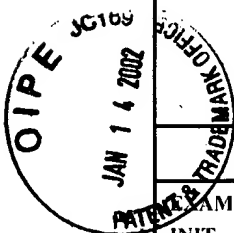
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<i>AS</i>	A133	5,750,238	5/12/98	Iijima et al.			
	A134	5,783,856	7/21/98	Smith et al.			
	A135	5,786,875	7/28/98	Brader et al.			
	A136	5,821,137	10/13/98	Wakai et al.			
	A137	5,824,186	10/20/98	Smith et al.			
	A138	5,892,244	4/6/99	Tanaka et al.			
	A139	5,892,504	4/6/99	Knapp			
	A140	5,904,545	5/18/99	Smith et al.			
	A141	5,930,026	7/27/99	Jacobson et al.			
	A142	5,936,259	8/10/99	Katz et al.			
	A143	5,961,804	10/5/99	Jacobson et al.			
	A144	5,969,376	10/19/99	Bao			
	A145	6,005,791	12/21/99	Gudesen et al.			
	A146	6,005,817	12/21/99	Gudesen et al.			
	A147	6,045,955	4/4/00	Vincent			
	A148	6,055,180	4/25/00	Gudesen et al.			
<i>✓</i>	A149	5,238,861	8/24/93	Morin et al.			

## FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG Y/N
<i>AS</i>	B1	44 31 441 C1	2/15/96	DE					N
	B2	195 00 694 A1	8/8/96	DE					N
	B3	0 186 710 A1	7/9/86	EP					Y
	B4	0 325 013 A1	7/26/89	EP					Y
	B5	0 325 013 B1	7/26/89	EP					Y
	B6	0 344 367 A1	12/6/89	EP					Y
	B7	0 344 367 B1	12/6/89	EP					Y
<i>✓</i>	B8	0 362 928 A1		EP					Y

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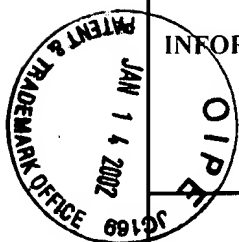
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AS	B9	0 361 420 A2	4/4/90	EP					Y
	B10	0 363 030 A2	4/11/90	EP					Y
	B11	0 363 030 B1	4/11/90	EP					Y
	B12	0 396 247 A2	11/7/90	EP					Y
	B13	0 396 247 B1	11/7/90	EP					Y
	B14	0 404 545 A2	12/27/90	EP					Y
	B15	0 443 571 A2	8/28/91	EP					Y
	B16	0 448 853 A1	10/2/91	EP					Y
	B17	0 448 853 B1	10/2/91	EP					Y
	B18	0 460 747 A2	12/11/91	EP					Y
	B19	0 525 852 A1	2/3/93	EP					Y
	B20	0 525 852 B1	2/3/93	EP					Y
	B21	0 570 995 A1	11/24/93	EP					Y
	B22	0 570 995 B1	11/24/93	EP					Y
	B23	0 575 475 B1	12/29/93	EP					Y
	B24	0 586 373 B1	3/16/94	EP					Y
	B25	0 586 545 B1	3/16/94	EP					Y
	B26	0 595 812 B1	5/11/94	EP					Y
	B27	0 601 072 B1	6/15/94	EP					Y
	B28	0 601 075 B1	6/15/94	EP					Y
	B29	0 604 423 B1	7/6/94	EP					Y
	B30	0 618 715 A1	10/5/94	EP					Y
	B31	0 684 579 A2	11/29/95	EP					Y
	B32	0 685 101 B1	12/6/95	EP					Y
	B33	0 709 713 A2	5/1/96	EP					Y
	B34	0 924 551 A1	6/23/99	EP					Y
✓	B35	0 962 808 A2	12/8/99	EP					Y

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<i>A2</i>	B36	1 024 540 A2	8/2/00	EP					Y
	B37	2 693 005	12/31/93	FR				Abstract	Y
	B38	1 314 906	4/26/73	GB					Y
	B39	2 149 548 A	6/12/85	GB					Y
	B40	2 306 229 A	4/30/97	GB					Y
	B41	2 324 273 A	10/21/98	GB					Y
	B42	54111368	8/31/79	JP				Abstract	Y
	B43	55096922	7/23/80	JP				Abstract	Y
	B44	62058222	3/13/87	JP				Abstract	Y
	B45	62231930	10/12/87	JP				Abstract	Y
	B46	01086116	3/30/89	JP				Abstract	Y
	B47	03053114A	3/7/91	JP				Abstract	Y
	B48	03091722A	4/17/91	JP				Abstract	Y
	B49	03096925A	4/22/91	JP				Abstract	Y
	B50	07036020	2/7/95	JP				Abstract	Y
	B51	09230391	9/5/97	JP				Abstract	Y
	B52	10072571A	3/17/98	JP				Abstract	Y
	B53	11202804	7/30/99	JP				Abstract	Y
	B54	11212499	8/6/99	JP				Abstract	Y
	B55	11219135	8/10/99	JP				Abstract	Y
	B56	11264812	9/28/99	JP				Abstract	Y
	B57	3053224	3/7/91	JP				Abstract	Y
	B58	3091722	4/17/91	JP				Abstract	Y
	B59	3096925	4/22/91	JP				Abstract	Y
	B60	6089081	3/29/94	JP				Abstract	Y
<i>✓</i>	B61	64 86116	3/30/89	JP					Y

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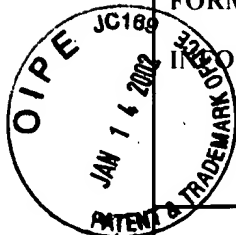
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<i>AS</i>	B62	5-61421	3/12/93	JP					Y
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	B64	9031453A	2/4/97	JP				Abstract	Y
	B65	9-6277	1/10/97	JP					Y
	B66	9-185087	7/15/97	JP					Y
	B67	10-48673	2/20/98	JP					Y
	B68	10-149118A	6/2/98	JP					Y
	B69	10-161161	6/19/98	JP					Y
	B70	WO 92/12453	7/23/92	PCT					Y
	B71	WO 92/17873	10/15/92	PCT					Y
	B72	WO 92/20060	11/12/92	PCT					Y
	B73	WO 92/21733	12/10/92	PCT					Y
	B74	WO 93/02443	2/4/93	PCT					Y
	B75	WO 93/04458	3/4/93	PCT					Y
	B76	WO 93/04459	3/4/93	PCT					Y
	B77	WO 93/05425	3/18/93	PCT					Y
	B78	WO 93/07608	4/15/93	PCT					Y
	B79	WO 93/17414	9/2/93	PCT					Y
	B80	WO 93/18428	9/16/93	PCT					Y
	B81	WO 94/19789	9/1/94	PCT					Y
	B82	WO 95/05622	2/23/95	PCT					Y
	B83	WO 95/06307	3/2/95	PCT					Y
	B84	WO 95/07527	3/16/95	PCT					Y
	B85	WO 95/10107	4/13/95	PCT					Y
	B86	WO 95/22085	8/17/95	PCT					Y
<i>✓</i>	B87	WO 96/41372	12/19/96	PCT					Y

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	B89	WO 97/01166	1/9/97	PCT					Y
	B90	WO 97/01171	1/9/97	PCT					Y
	B91	WO 97/04398	2/6/97	PCT					Y
	B92	WO 97/24907	7/10/97	PCT					Y
	B93	WO 97/35298	9/25/97	PCT					Y
	B94	WO 97/48009	12/18/97	PCT					Y
	B95	WO 97/49125	12/24/97	PCT					Y
	B96	WO 98/03896	1/29/98	PCT					Y
	B97	WO 98/19208	5/7/98	PCT					Y
	B98	WO 98/41898	9/24/98	PCT					Y
	B99	WO 98/55897	12/10/98	PCT					Y
	B100	WO 98/58383	12/23/98	PCT					Y
	B101	WO 99/10768	3/4/99	PCT					Y
	B102	WO 99/12170	3/11/99	PCT					Y
	B103	WO 99/20682	4/29/99	PCT					Y
	B104	WO 99/26419	5/27/99	PCT					Y
	B105	WO 99/40631	8/12/99	PCT					Y
	B106	WO 99/41732	8/19/99	PCT					Y
	B107	WO 99/41787	8/19/99	PCT					Y
	B108	WO 99/41788	8/19/99	PCT					Y
	B109	WO 99/44229	9/2/99	PCT					Y
	B110	WO 99/45416	9/10/99	PCT					Y
	B111	WO 99/45582	9/10/99	PCT					Y
	B112	WO 99/47970	9/23/99	PCT					Y
<i>↓</i>	B113	WO 99/53371	10/21/99	PCT					Y

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## INFORMATION DISCLOSURE STATEMENT

ATTORNEY DOCKET NO.: INK-097

APPLICANT(S): Albert et al.

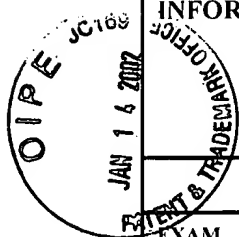
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EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG Y/N
AS	B114	WO 99/56171	11/4/99	PCT					Y
	B115	WO 99/63527	12/9/99	PCT					Y
	B116	WO 99/65011	12/16/99	PCT					Y
	B117	WO 99/65012	12/16/99	PCT					Y

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	C2	Bohnke <i>et al.</i> , "Polymer-Based Solid Electrochromic Cell for Matrix-Addressable Display Devices," <u>J. Electrochem Soc.</u> , December 1991, Vol. 138, No. 12, (pp. 3612-3617)
	C3	Chiang <i>et al.</i> , "11.5/4:10 P.M.: A High Speed Electrophoretic Matrix Display," <u>SID 80 Digest</u> , 1980, (pp. 114-115)
	C4	Dalisa, "Electrophoretic Display Technology," <u>Transactions on Electron Devices</u> , July 1977, Vol. 24, No. 7, (pp. 827-834)
	C5	Hosaka <i>et al.</i> , "Electromagnetic Microrelays: Concepts and Fundamental Characteristics," <u>Sensors and Actuators</u> , 1994, Vol. 40, (pp. 41-47)
	C6	Kornfield, "9.5: A Defect-Tolerant Active-Matrix Electrophoretic Display," <u>SID 84 Digest</u> , 1984, (pp. 142-144)
	C7	Moesner <i>et al.</i> , "Devices for Particle Handling by an AC Electric Field," <u>Proceedings of the IEEE</u> , 1995, (pp. 66-71)
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	C9	Vaz <i>et al.</i> , "Dual Frequency Addressing of Polymer-Dispersed Liquid-Crystal Films," <u>J. Appl. Phys.</u> , June 1989, Vol. 65, No. 12, (pp. 5043-5050)
	C10	Ota <i>et al.</i> , "Developments in Electrophoretic Displays," <u>Proceedings of the SID</u> , 1977, Vol. 18, Nos. 3 & 4, (pp. 243-254)
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	C13	Pankove, "Color Reflection Types Display Panel," <u>RCA Technical Notes</u> , March 1962, No. 535, (2 sheets)

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SE	C14	Saitoh <i>et al.</i> , "A Newly Developed Electrical Twisting Ball Display," <u>Proceedings of the SID</u> , 1982 Vol. 23, No. 4, (pp. 249-253)
	C15	Sheridon <i>et al.</i> , "10.2/9:25 A.M.: A Photoconductor-Addressed Electrophoretic Cell for Office Data Display," <u>SID 82 Digest</u> , 1982, (pp. 94-95)
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	C17	Shiwa <i>et al.</i> , "5.6: Electrophoretic Display Method Using Ionographic Technology," <u>SID 88 Digest</u> , 1988, (pp. 61-62)
	C18	Singer <i>et al.</i> , "An X-Y Addressable Electrophoretic Display," <u>Proceeding of the SID</u> , 1977, Vol. 18, Nos. 3 & 4, (pp. 255-266)
	C19	Vance, "Optical Characteristics of Electrophoretic Displays," <u>Proceeding of the SID</u> , 1977, Vol. 18, Nos. 3 & 4, (pp. 267-274)
	C20	Yamaguchi <i>et al.</i> , "Equivalent Circuit of Ion Projection-Driven Electrophoretic Display," <u>IEICE Transactions</u> , 1991, Vol. 74, No. 12, (4152-4156)
	C21	Comiskey <i>et al.</i> , "An Electrophoretic Ink for All-Printed Reflective Electronic Displays," <u>Nature</u> , July 1998, Vol. 394, (pp. 253-255)
	C22	Hopper <i>et al.</i> (1979), "An Electrophoretic Display, Its Properties, Model and Addressing," <u>Transaction on Electron Devices</u> , 26(8):1148-1152
	C23	White, "An Electrophoretic Bar Graph Display," <u>Proceedings of the SID</u> , 1981, 22(3):173-180
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	C28	Ridley <i>et al.</i> , "All-Inorganic Field Effect Transistors Fabricated by Printing," <u>Science</u> , October 22, 1999, Vol. 286, pp. 746-48.
	C29	Dabbousi <i>et al.</i> , "Electroluminescence from CdSe quantum-dot/polymer composites," <u>Appl. Phys. Lett.</u> , March 1995, Vol. 66 (11), 13, pp. 1316-18.
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	C31	Drzaic <i>et al.</i> , "A Printed and Rollable Bistable Electronic Display," 1998 SID International Symposium, Digest of Technical Papers, 1998, Vol. 29, pp. 1131-4.

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INFORMATIONAL DISCLOSURE STATEMENT



ATTORNEY DOCKET NO.: INK-097

APPLICANT(S): Albert et al.

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EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG Y/N
AE	B118	WO 00/05704	02/03/00	PCT					Y
AE	B119	WO 00/36465	06/22/00	PCT					Y
AE	B120	2001-109407	04/20/01	JP					Y
EXAMINER					DATE CONSIDERED				

## OTHER ART, JOURNAL ARTICLES, ETC.

EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
AE	C32	International Search Report for International Patent Application No. PCT/US02/21967, dated November 7, 2002, 8 pages.							
EXAMINER <i>Albert R. Eisen</i>					DATE CONSIDERED <i>12/18/03</i>				

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